

**ARIZONA AHEC PROGRAM**  
**FY17: 7/1/2016 - 6/30/2017**  
**YEAR TO DATE FINANCIAL REPORT**  
**FOR Q1 ENDING SEP 30, 2016**

	FY 2016	FY 2017				
	(PRIOR YEAR)	Approved Budget	Actuals Year to Date			Budget v. Actual
	Actuals		Federal	State	Total	
<b>REVENUE:</b>						
Federal Model AHEC (received periodically on a reimbursement basis)	525,616	589,402	148,264		148,264	441,138
Arizona State Lottery (received in prior FY)	4,746,883	4,811,931		4,811,931	4,811,931	-
<b>TOTAL REVENUE</b>	<b>\$ 5,272,499</b>	<b>\$ 5,401,333</b>	<b>\$ 148,264</b>	<b>\$ 4,811,931</b>	<b>\$ 4,960,194</b>	<b>\$ 441,138</b>
<b>EXPENDITURES:</b>						
Program Administration	674,952	675,000	32,967	126,422	159,389	515,611
Program Operations	95,701	65,000	-	8,248	8,248	56,752
AHEC Regional Center Subcontracts -- Current FY Allocation:						
EAHEC	415,770	422,125	-	-	-	422,125
GVAHEC	325,215	422,125	-	-	-	422,125
NAHEC	367,672	422,125	-	-	-	422,125
SEAHEC	270,605	422,125	-	-	-	422,125
WAHEC	359,758	422,125	-	-	-	422,125
Sub-Total Current FY AHEC Regional Center Subcontracts	1,739,021	2,110,625	-	-	-	2,110,625
AHEC Regional Center Subcontracts -- Prior FY Allocation:						
EAHEC	124,422	90,780	19,063	71,716	90,780	-
GVAHEC	143,759	181,335	17,753	83,152	100,905	80,430
NAHEC	154,778	138,785	24,157	114,629	138,785	-
SEAHEC	273,916	235,945	9,455	111,652	121,107	114,837
WAHEC	141,606	146,792	44,869	67,290	112,158	34,633
Sub-Total Prior FY AHEC Core Center Subcontracts	838,481	793,636	115,297	448,439	563,736	229,900
Interprofessional Initiatives:						
FY17 Support:		3,272,440		798,990	798,990	2,473,450
FY16 Support:	1,038,598	370,493		135,534	135,534	234,958
FY15 Support:	319,268			-	-	-
FY14 Support:	(5,982)			-	-	-
FY13 Support:	(2,944)			-	-	-
Sub-Total Interprofessional Initiatives	1,348,940	3,642,933	-	934,525	934,525	2,708,408
<b>TOTAL EXPENDITURES</b>	<b>\$ 4,697,094</b>	<b>\$ 7,287,194</b>	<b>\$ 148,264</b>	<b>\$ 1,517,634</b>	<b>\$ 1,665,898</b>	<b>\$ 5,621,296</b>
<b>CHANGE IN CARRYFORWARD RESERVE</b>	<b>\$ 575,404</b>	<b>\$ (1,885,862)</b>	<b>\$ -</b>	<b>\$ 3,294,296</b>	<b>\$ 3,294,296</b>	<b>\$ 5,180,158</b>

	Federal	State	Total	% of Total
Program Administration and Operations	\$ 32,967	\$ 134,670	\$ 167,637	23%
Core Center Subcontract Expenses	\$ 115,297	\$ 448,439	\$ 563,736	77%
<b>TOTAL</b>	<b>\$ 148,264</b>	<b>\$ 583,109</b>	<b>\$ 731,373</b>	<b>100%</b>
Target for Program Administration and Operations as % of Total			\$ 182,843.30	25%

- <sup>1</sup> Budget approved by UofA SVPHS on behalf of ABOR.
- <sup>2</sup> Federal Model AHEC funding has a support year of Sep 1 - Aug 31. Two months from the prior support year fall at the beginning of the AHEC fiscal year, which runs from Jul 1 - June 30. The first 10 months of the current support year overlap with current AHEC fiscal year, which runs from Jul 1 - June 30. The current FY16 HRSA award amount is \$517,750.
- <sup>3</sup> State Lottery funding is contingent on lottery performance from the prior fiscal year.
- <sup>4</sup> Subcontract period is Sep 1 - Aug 31. As such, 10 months of each subcontract fall into the current fiscal year and 2 months fall into the following fiscal year.
- <sup>5</sup> Regional Center Subcontract Expenses are based on actual invoices received and paid.
- <sup>6</sup> Interprofessional Initiatives are ongoing. Once a project has been awarded, the full amount of awarded monies are transferred out of the AHEC fund. Support external to the UofA is issued via purchase order and paid via invoicing.
- <sup>7</sup> Interprofessional Initiatives are not included in calculating the 25% target for Program Administration and Operations.
- <sup>8</sup> Includes any pending amount to be drawn from HRSA at report cut off date.
- <sup>9</sup> AHEC maintains a balance sufficient to support 1-year of operations in the absence of future funding. As of the beginning of FY 2017 on 7/1/2016, it stood at \$12,387,204. As of 9/30/2016, it stood at \$10,869,570.

ARIZONA AHEC PROGRAM  
 FY17: 7/1/2016 - 6/30/2017  
 YEAR TO DATE FINANCIAL REPORT  
 FOR Q2 ENDING DEC 31, 2016

	FY 2016	FY 2017				
	(PRIOR YEAR)	Approved Budget	Actuals Year to Date			Budget v. Actual
	Actuals		Federal	State	Total	
<b>REVENUE:</b>						
Federal Model AHEC (received periodically on a reimbursement basis)	525,616	589,402	222,870		222,870	366,532
Arizona State Lottery (received in prior FY)	4,746,883	4,811,931		4,811,931	4,811,931	-
<b>TOTAL REVENUE</b>	<b>\$ 5,272,499</b>	<b>\$ 5,401,333</b>	<b>\$ 222,870</b>	<b>\$ 4,811,931</b>	<b>\$ 5,034,801</b>	<b>\$ 366,532</b>
<b>EXPENDITURES:</b>						
Program Administration	674,952	675,000	65,799	245,091	310,889	364,111
Program Operations	95,701	65,000	-	35,471	35,471	29,529
AHEC Regional Center Subcontracts -- Current FY Allocation:						
EAHEC	415,770	422,125	6,184	62,769	68,953	353,172
GVAHEC	325,215	422,125	-	-	-	422,125
NAHEC	367,672	422,125	11,646	51,147	62,793	359,332
SEAHEC	270,605	422,125	-	-	-	422,125
WAHEC	359,758	422,125	12,886	78,506	91,391	330,734
Sub-Total Current FY AHEC Regional Center Subcontracts	1,739,021	2,110,625	30,716	192,422	223,138	1,887,487
AHEC Regional Center Subcontracts -- Prior FY Allocation:						
EAHEC	124,422	90,780	19,063	71,716	90,780	-
GVAHEC	143,759	181,335	28,207	153,128	181,335	-
NAHEC	154,778	138,785	24,157	114,629	138,785	-
SEAHEC	273,916	235,945	10,061	225,884	235,945	-
WAHEC	141,606	146,792	44,869	101,923	146,792	-
Sub-Total Prior FY AHEC Core Center Subcontracts	838,481	793,636	126,356	667,280	793,636	-
Interprofessional Initiatives:						
FY17 Support:		3,272,440		1,043,135	1,043,135	2,229,305
FY16 Support:	1,038,598	370,493		215,288	215,288	155,205
FY15 Support:	319,268			-	-	-
FY14 Support:	(5,982)			-	-	-
FY13 Support:	(2,944)			-	-	-
Sub-Total Interprofessional Initiatives	1,348,940	3,642,933	-	1,258,423	1,258,423	2,384,510
<b>TOTAL EXPENDITURES</b>	<b>\$ 4,697,094</b>	<b>\$ 7,287,194</b>	<b>\$ 222,870</b>	<b>\$ 2,398,687</b>	<b>\$ 2,621,557</b>	<b>\$ 4,665,637</b>
<b>CHANGE IN CARRYFORWARD RESERVE</b>	<b>\$ 575,404</b>	<b>\$ (1,885,862)</b>	<b>\$ -</b>	<b>\$ 2,413,244</b>	<b>\$ 2,413,244</b>	<b>\$ 4,299,105</b>

	Federal	State	Total	% of Total
Program Administration and Operations	\$ 65,799	\$ 280,562	\$ 346,360	25%
Core Center Subcontract Expenses	\$ 157,072	\$ 859,702	\$ 1,016,774	75%
<b>TOTAL</b>	<b>\$ 222,870</b>	<b>\$ 1,140,264</b>	<b>\$ 1,363,134</b>	<b>100%</b>
Target for Program Administration and Operations as % of Total			\$ 340,783.48	25%

<sup>1</sup> Budget approved by UofA SVPHS on behalf of ABOR.  
<sup>2</sup> Federal Model AHEC funding has a support year of Sep 1 - Aug 31. Two months from the prior support year fall at the beginning of the AHEC fiscal year, which runs from Jul 1 - June 30. The first 10 months of the current support year overlap with current AHEC fiscal year, which runs from Jul 1 - June 30. The current FY17 HRSA award amount is \$527,190.  
<sup>3</sup> State Lottery funding is contingent on lottery performance from the prior fiscal year.  
<sup>4</sup> Subcontract period is Sep 1 - Aug 31. As such, 10 months of each subcontract fall into the current fiscal year and 2 months fall into the following fiscal year.  
<sup>5</sup> Regional Center Subcontract Expenses are based on actual invoices received and paid.  
<sup>6</sup> Interprofessional Initiatives are ongoing. Once a project has been awarded, the full amount of awarded monies are transferred out of the AHEC fund. Support external to the UofA is issued via purchase order and paid via invoicing.  
<sup>7</sup> Interprofessional Initiatives are not included in calculating the 25% HRSA target for Program Administration and Operations.  
<sup>8</sup> Includes any pending amount to be drawn from HRSA at report cut off date.  
<sup>9</sup> AHEC maintains a balance sufficient to support 1-year of operations in the absence of future funding. As of the beginning of FY 2017 on 7/1/2016, it stood at \$12,387,204. As of 12/31/2016, it stood at \$9,988,517.

ARIZONA AHEC PROGRAM  
 FY17: 7/1/2016 - 6/30/2017  
 YEAR TO DATE FINANCIAL REPORT  
 FOR Q3 ENDING MAR 31, 2017

	FY 2016	FY 2017				
	(PRIOR YEAR)	Approved Budget <sup>1</sup>	Actuals Year to Date			Budget v. Actual
	Actuals		Federal	State	Total	
<b>REVENUE:</b>						
Federal Model AHEC (received periodically on a reimbursement basis)	525,616	589,402 <sup>2</sup>	344,144 <sup>8</sup>		344,144	245,259
Arizona State Lottery (received in prior FY)	4,746,883	4,811,931 <sup>3</sup>		4,811,931	4,811,931	-
<b>TOTAL REVENUE</b>	<b>\$ 5,272,499</b>	<b>\$ 5,401,333</b>	<b>\$ 344,144</b>	<b>\$ 4,811,931</b>	<b>\$ 5,156,074</b>	<b>\$ 245,259</b>
<b>EXPENDITURES:</b>						
Program Administration	674,952	675,000	106,168	386,912	493,080	181,920
Program Operations	95,701	65,000	-	44,438	44,438	20,562
AHEC Regional Center Subcontracts -- Current FY Allocation:						
EAHEC	415,770	422,125	24,427	211,998	236,425	185,700
GVAHEC	325,215	422,125	23,879	132,258	156,138	265,987
NAHEC	367,672	422,125	28,485	128,073	156,559	265,566
SEAHEC	270,605	422,125	12,258	60,598	72,856	349,269
WAHEC	359,758	422,125	22,570	180,668	203,238	218,887
Sub-Total Current FY AHEC Regional Center Subcontracts	1,739,021	2,110,625 <sup>4</sup>	111,620	713,595	825,215 <sup>5</sup>	1,285,410
AHEC Regional Center Subcontracts -- Prior FY Allocation:						
EAHEC	124,422	90,780	19,063	71,716	90,780	-
GVAHEC	143,759	181,335	28,207	153,128	181,335	-
NAHEC	154,778	138,785	24,157	114,629	138,785	-
SEAHEC	273,916	235,945	10,061	225,884	235,945	-
WAHEC	141,606	146,792	44,869	101,923	146,792	-
Sub-Total Prior FY AHEC Core Center Subcontracts	838,481	793,636 <sup>4</sup>	126,356	667,280	793,636 <sup>5</sup>	-
Interprofessional Initiatives:						
FY17 Support:		3,272,440 <sup>6</sup>		1,445,365	1,445,365	1,827,076
FY16 Support:	1,038,598	370,493 <sup>6</sup>		237,524	237,524	132,968
FY15 Support:	319,268			-	-	-
FY14 Support:	(5,982)			-	-	-
FY13 Support:	(2,944)			-	-	-
Sub-Total Interprofessional Initiatives	1,348,940	3,642,933 <sup>6</sup>	-	1,682,889	1,682,889	1,960,044
<b>TOTAL EXPENDITURES</b>	<b>\$ 4,697,094</b>	<b>\$ 7,287,194</b>	<b>\$ 344,144</b>	<b>\$ 3,495,114</b>	<b>\$ 3,839,258</b>	<b>\$ 3,447,936</b>
<b>CHANGE IN CARRYFORWARD RESERVE</b>	<b>\$ 575,404 <sup>9</sup></b>	<b>\$ (1,885,862) <sup>9</sup></b>	<b>\$ -</b>	<b>\$ 1,316,816</b>	<b>\$ 1,316,816 <sup>9</sup></b>	<b>\$ 3,202,678</b>

	Federal	State	Total	% of Total
Program Administration and Operations	\$ 106,168	\$ 431,350	\$ 537,518	25%
Core Center Subcontract Expenses	\$ 237,976	\$ 1,380,876	\$ 1,618,851 <sup>5</sup>	75%
<b>TOTAL</b>	<b>\$ 344,144</b>	<b>\$ 1,812,225</b>	<b>\$ 2,156,369</b>	<b>100%</b>
Target for Program Administration and Operations as % of Total			\$ 539,092	25% <sup>7</sup>

- <sup>1</sup> Budget approved by UofA SVPHS on behalf of ABOR.
- <sup>2</sup> Federal Model AHEC funding has a support year of Sep 1 - Aug 31. Two months from the prior support year fall at the beginning of the AHEC fiscal year, which runs from Jul 1 - June 30. The first 10 months of the current support year overlap with current AHEC fiscal year, which runs from Jul 1 - June 30. The current FY17 HRSA award amount is \$527,190.
- <sup>3</sup> State Lottery funding is contingent on lottery performance from the prior fiscal year.
- <sup>4</sup> Subcontract period is Sep 1 - Aug 31. As such, 10 months of each subcontract fall into the current fiscal year and 2 months fall into the following fiscal year.
- <sup>5</sup> Regional Center Subcontract Expenses are based on actual invoices received and paid.
- <sup>6</sup> Interprofessional Initiatives are ongoing. Once a project has been awarded, the full amount of awarded monies are transferred out of the AHEC fund. Support external to the UofA is issued via purchase order and paid via invoicing.
- <sup>7</sup> Interprofessional Initiatives are not included in calculating the 25% HRSA target for Program Administration and Operations.
- <sup>8</sup> Includes any pending amount to be drawn from HRSA at report cut off date.
- <sup>9</sup> AHEC maintains a balance sufficient to support 1-year of operations in the absence of future funding. As of the beginning of FY 2017 on 7/1/2016, it stood at \$12,387,204. As of 3/31/2017, it stood at \$8,892,090.

ARIZONA AHEC PROGRAM  
 FY17: 7/1/2016 - 6/30/2017  
 YEAR TO DATE FINANCIAL REPORT  
 FOR Q4 ENDING JUN 30, 2017

	FY 2016	FY 2017				
	(PRIOR YEAR)	Actuals Year to Date				Budget v. Actual
	Actuals	Approved Budget <sup>1</sup>	Federal	State	Total	
<b>REVENUE:</b>						
Federal AHEC Grant (received periodically on a reimbursement basis)	525,616	589,402 <sup>2</sup>	492,175 <sup>7</sup>		492,175	97,227
Arizona State Lottery (received in prior FY)	4,746,883	4,811,931 <sup>3</sup>		4,811,931	4,811,931	-
<b>TOTAL REVENUE</b>	<b>\$ 5,272,499</b>	<b>\$ 5,401,333</b>	<b>\$ 492,175</b>	<b>\$ 4,811,931</b>	<b>\$ 5,304,105</b>	<b>\$ 97,227</b>
<b>EXPENDITURES:</b>						
Program Administration	674,952	675,000	149,752	547,860	697,612	(22,612)
Program Operations	95,701	65,000		70,641	70,641	(5,641)
AHEC Regional Center Subcontracts -- Current FY Allocation:						
EAHEC	415,770	422,125	34,260	292,621	326,881	95,244
GVAHEC	325,215	422,125	53,194	217,358	270,552	151,573
NAHEC	367,672	422,125	54,279	276,535	330,813	91,312
SEAHEC	270,605	422,125	25,868	129,327	155,195	266,931
WAHEC	359,758	422,125	48,467	310,085	358,552	63,573
Sub-Total Current FY Allocation	1,739,021	2,110,625 <sup>4</sup>	216,067	1,225,926	1,441,993 <sup>5</sup>	668,632
AHEC Regional Center Subcontracts -- Prior FY Allocation:						
EAHEC	124,422	90,780	19,063	71,716	90,780	-
GVAHEC	143,759	181,335	28,207	153,128	181,335	-
NAHEC	154,778	138,785	24,157	114,629	138,785	-
SEAHEC	273,916	235,945	10,061	225,884	235,945	-
WAHEC	141,606	146,792	44,869	101,923	146,792	-
Sub-Total Prior FY Allocation	838,481	793,636 <sup>4</sup>	126,356	667,280	793,636 <sup>5</sup>	-
Program Initiatives:						
FY17 (Current Fiscal Year) Support		3,272,440 <sup>6</sup>		2,006,348	2,006,348	1,266,093
Prior Fiscal Years Support	1,348,940	370,493 <sup>6</sup>		237,524	237,524	132,968
Sub-Total Program Initiatives	1,348,940	3,642,933	-	2,243,872	2,243,872	1,399,061
<b>TOTAL EXPENDITURES</b>	<b>\$ 4,697,094</b>	<b>\$ 7,287,194</b>	<b>\$ 492,175</b>	<b>\$ 4,755,579</b>	<b>\$ 5,247,754</b>	<b>\$ 2,039,440</b>
<b>CHANGE IN CARRYFORWARD RESERVE</b>	<b>\$ 575,404 <sup>8</sup></b>	<b>\$ (1,885,862) <sup>8</sup></b>	<b>\$ -</b>	<b>\$ 56,351</b>	<b>\$ 56,351 <sup>8</sup></b>	

- <sup>1</sup> Budget approved by UA SVPHS on behalf of ABOR.
- <sup>2</sup> Federal AHEC funding has a grant year of Sep 1 - Aug 31. The AzaHEC program office is on the UA fiscal year of Jul 1 - June 30. Two months from the prior federal grant year fall at the beginning of the current AzaHEC fiscal year. The first 10 months of the current grant year overlap with current AzaHEC fiscal year. The FY17 HRSA allocation is \$527,190.
- <sup>3</sup> State Lottery funding is contingent on lottery performance from the prior fiscal year.
- <sup>4</sup> Subcontract period is Sep 1 - Aug 31. As such, 10 months of each subcontract fall into the current fiscal year and 2 months fall into the following fiscal year.
- <sup>5</sup> Regional Center Subcontract Expenses are based on actual invoices received and paid.
- <sup>6</sup> Program Initiatives are ongoing. Once a project has been awarded, the full amount of awarded monies are transferred out of the AHEC fund. Support external to the UA is issued via purchase order and paid via invoicing.
- <sup>7</sup> Includes any pending amount to be drawn from HRSA at report cut off date.
- <sup>8</sup> AzaHEC maintains a reserve sufficient to support at least 1-year of operations and multi-year projects in the absence of future funding. As of the beginning of FY 2017 on 7/1/2016, the reserve stood at \$7,575,274. As of 6/30/2017, it stands at \$7,631,625. The overall AHEC fund balance at 6/30/17, including the FY18 lottery allocation of \$4,864,876, is \$12,496,501.